

## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: July 30, 2001

I hereby certify that I have caused the documents indicated below to be deposited with the United States Service under 37 CFR § 1.8 on the date indicated above and are addressed to the Assistant Commissioner Patents, Washington, D.C. 20231 and mailed on the above Date of Mailing.

Laura H. Andre

ped or printed name of person mailing paper or fee

SIGNATURE of person mailing paper or fee

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

DOCKET NUMBER: 3063:26

DATE: July 30, 2001

Sir:

**PATENT** 

Transmitted herewith are the following documents for the below-identified application:

Inventors:

For:

SYSTEM AND METHOD FOR TRACKING CUSTOMER INCENTIVE SECURITIES

Filed: April 11, 2001 Serial No.: 09/834,035

Enclosed are:

This transmittal sheet authorizing to charge Deposit Account No. 50-1343

Response to Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) and

authorization to charge deposit account

**Small Entity Statement** 

Four (4) sheets of figures

Copy of Notice to File Missing Parts

The filing fee has been calculated as shown below:

| For   | Number Filed | Number Extra | Rate                               | Fee<br>\$355.00     |
|---|--------------|--------------|------------------------------------|---------------------|
| Basic Fee Total Claims Indep. Claims Multiple Dep. Claims Presented Late Filing Fee | 47<br>4      | 17<br>1      | x \$ 9 =<br>x \$ 40 =<br>x \$130 = | \$153.00<br>\$40.00 |
|   |              |              | TOTAL                              | \$65.00<br>\$613.00 |

The Commissioner is hereby authorized to charge the above-itemized fees in the amount of \$613.00, prescribed under Rule 1.16 to Deposit Account No. 50-1343 of Hughes & Luce, L.L.P.

Please charge our deposit account 50-1343 in the amount of \$613.00.

By:

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## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspta.gov

PLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/834,035

04/11/2001

Henry Ross Perot

3063.26

**CONFIRMATION NO. 1760** 

**FORMALITIES LETTER** 

\*OC000000006162371\*

William N. Hulsey III Hughes & Luce, L.L.P. Suite 2800 1717 Main Street Dallas, TX 75201

Date Mailed: 06/08/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$566.
  - \$486 for 27 total claims over 20.
  - \$80 for 1 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1406.

• The balance due by applicant is a 1400.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and as bottom margin of at least 1.0 cm. (3/8 inch);

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09/21/20 FC:20.



## A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE